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Center for Frontiers
in Nuclear Science

Charged Lepton Flavor Violation Study at EIC

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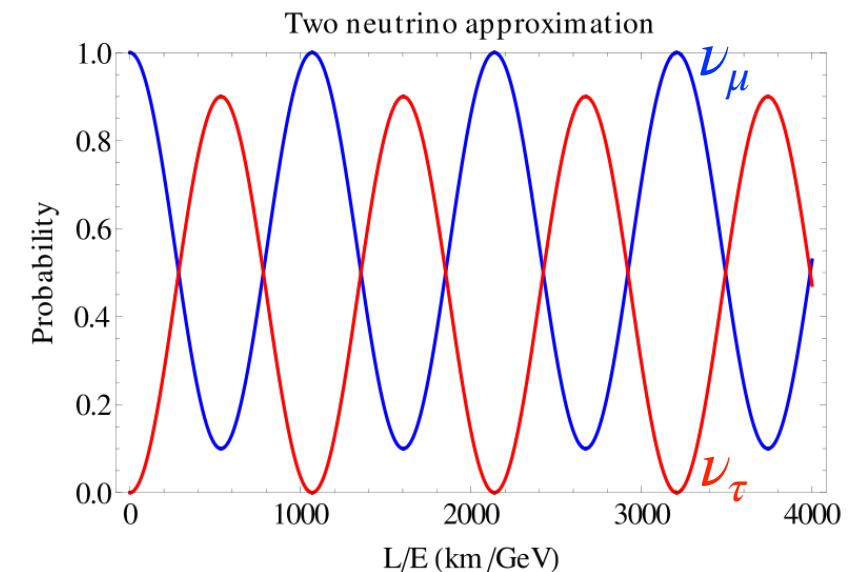
Based on work with Abhay Deshpande (SBU/BNL/CFNS), Jin Huang (BNL),
Krishna Kumar (UMass, Amherst), Yuxiang Zhao (IMP,CAS)

EW/BSM Physics at the EIC

May 6-7, 2020

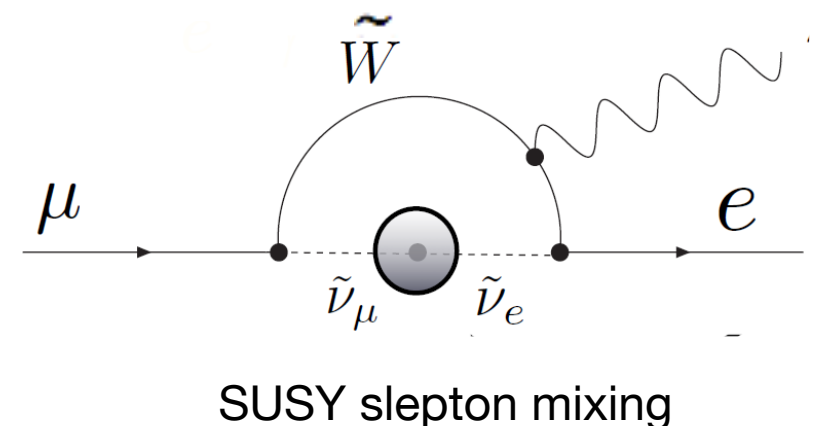
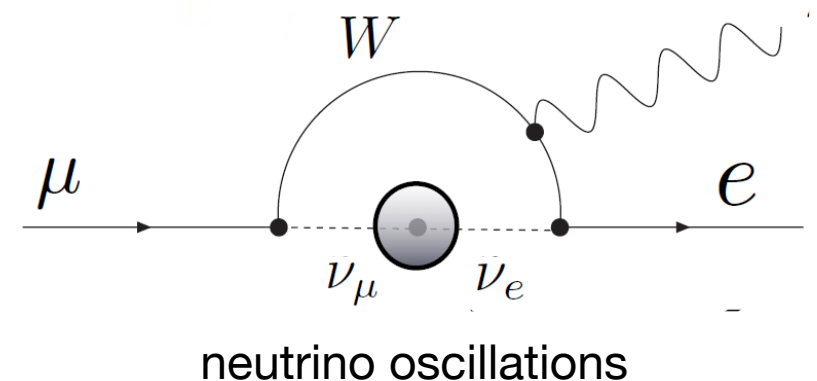
Charged Lepton Flavor Violation

- Lepton Flavor (generation) is not conserved, neutrino oscillations observed. (2015 Nobel Prize)
- Charged lepton flavor violations (CFLV) should also be allowed within the SM; but extremely low rate, e.g. $\text{BR}(\mu \rightarrow e\gamma) < 10^{-54}$
- Many BSM models predict significantly higher rate of CFLV, e.g. SUSY slepton mixing $\text{BR}(\mu \rightarrow e\gamma) < 10^{-15}$

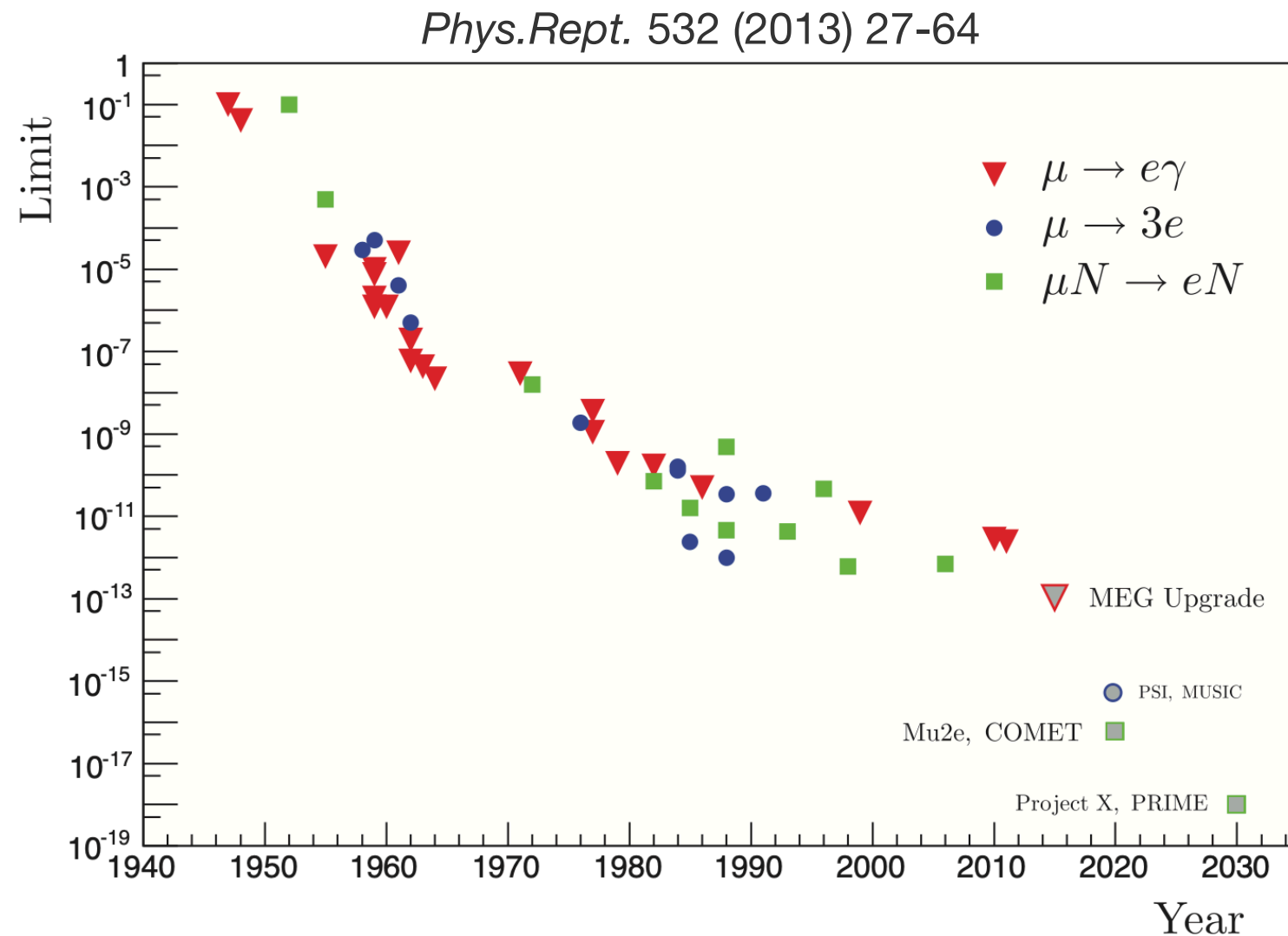


See Sonny Mantry's talk
(Thanks Sonny)

BSM



Experimental Searches of CLFV(1,2)



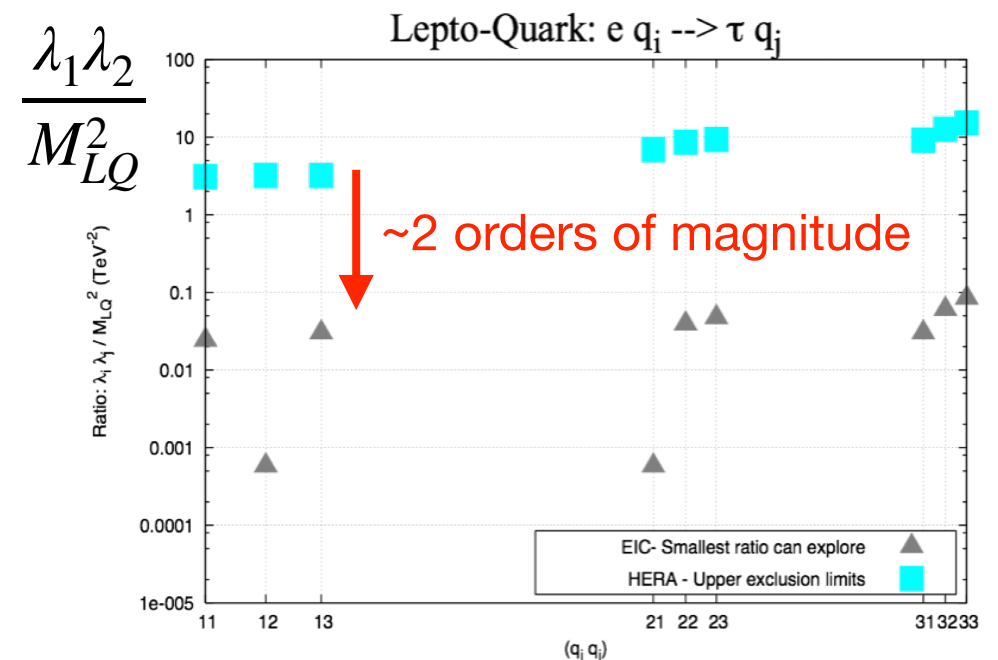
- LFV(1,2): Extensive searches for have placed stringent experimental limits.
 - SINDRUM-II, MEGA, SINDRUM Belle, BaBar, *Mu2e*,
- LFV(1,3): Several orders of magnitude **weaker** limits than LFV(1,2)

$e \rightarrow \tau$ conversion at ep collision

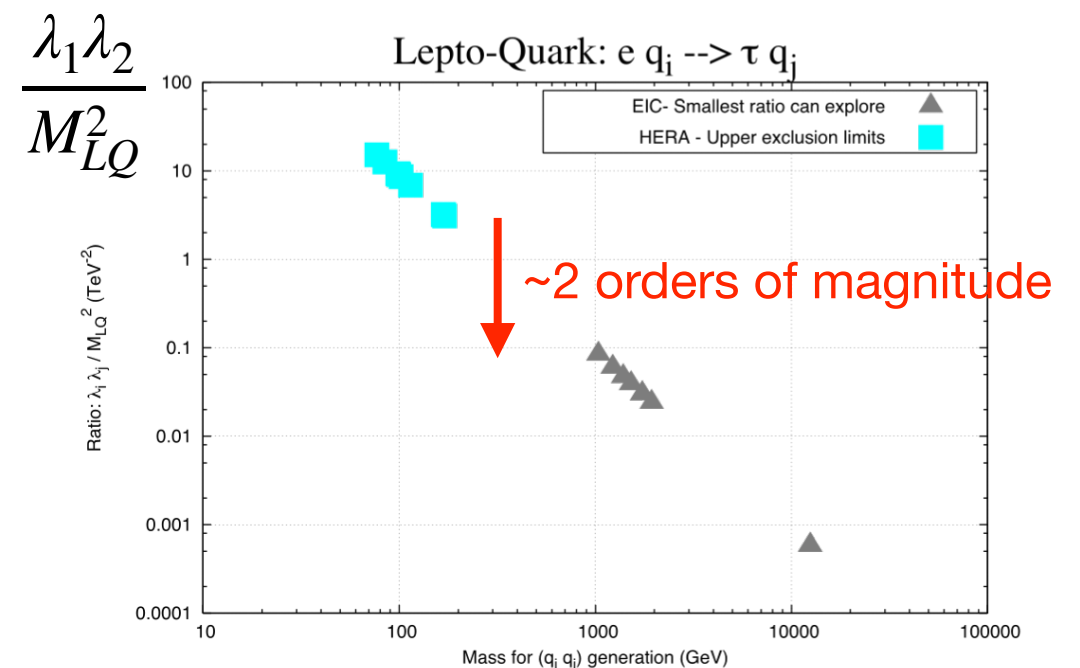
Various models predict enhanced sensitivity for LFV(1,3) while suppressing LFV(1,2)

- **Leptoquark** models provide a good benchmark to study sensitivity
Gonderinger, Ramsey-Musolf, JHEP (2010) 2010: 45
- HERA set limits in coupling-mass space
(See *Elisabetta Gallo's* talk)
- At the EIC, with much higher luminosity, $10^{30-31} \rightarrow 10^{33-34} \text{ cm}^{-2}\text{s}^{-1}$, ~ 2 orders of magnitude improvement of the sensitivity is expected

New discovery space: $e \rightarrow \tau$ conversion



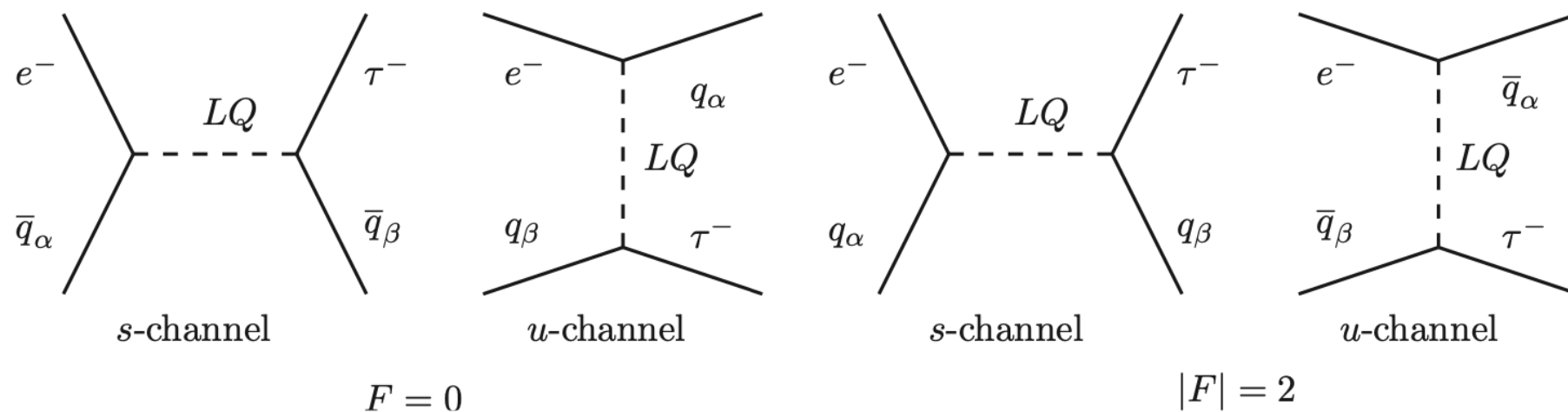
Assume 0.1 fb cross-section sensitivity



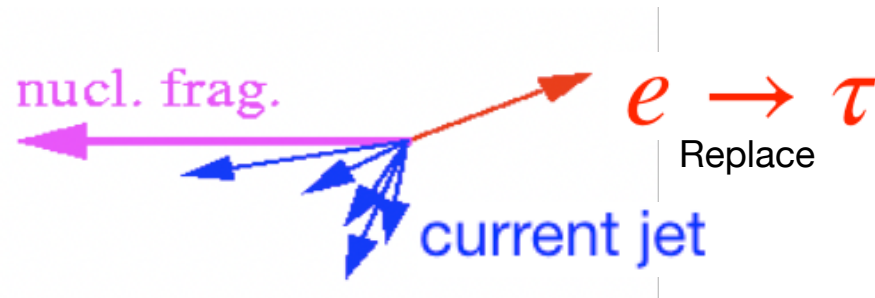
Leptoquark

Leptoquarks (LQs) appear in certain extensions of the SM.

- Symmetry between lepton sector and quark sector
- Flavor violating but fermion number ($F = 3B+L$) conserving
- Buchmüller-Rückl-Wyler (BRW) framework: 14 different LQ types (7 scalars, 7 vectors)
- CLFV at tree level processes; allow coupling between same and different generations of quarks and leptons at initial state and final state



Goal of this Study at EIC



- Replace electron with tau
- **Tau** back-to-back with **current jet**
- Primary vertex reconstructed from tracks of current jets
- **Tau vertex displaced at cm level**
 - 3-prong tau jet; decay topology important for τ jet ID
 - 1-prong: recovering higher branching ratios; but background control is much more demanding

Tau decay mode and branching ratio

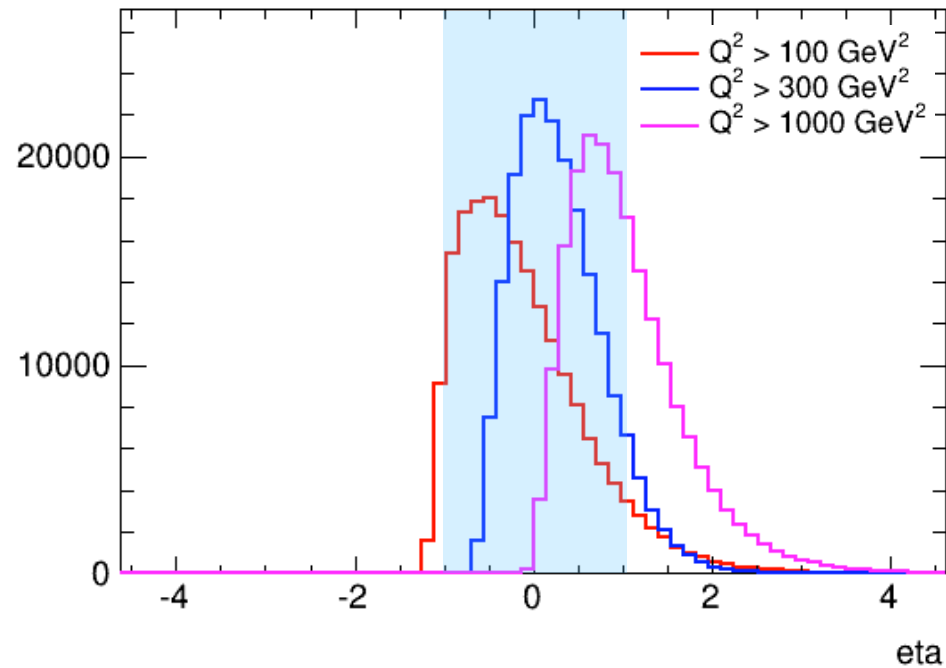
- 3-prong	15.21 (0.06)%
- $\pi^- \pi^+ \pi^- \nu_\tau$	9.31 (0.05)%
- $\pi^- \pi^+ \pi^- \pi^0 \nu_\tau$	4.62 (0.05)%
- others (kaon, etc)	1.28%
- 1-prong	84.58 (0.06)%
- $\mu^- \bar{\nu}_\mu \nu_\tau$	17.39 (0.04)%
- $e^- \bar{\nu}_e \nu_\tau$	17.82 (0.04)%
- $\pi^- \nu_\tau$	10.82 (0.05)%
- $\pi^- \pi^0 \nu_\tau$	25.49 (0.09)%
- $\pi^- 2\pi^0 \nu_\tau$	9.26 (0.10)%
- $\pi^- 3\pi^0 \nu_\tau$	1.04 (0.07)%
- others (kaon, etc)	3.24%
- others	0.21%

HERA Efficiency $\sim 2.5\%$

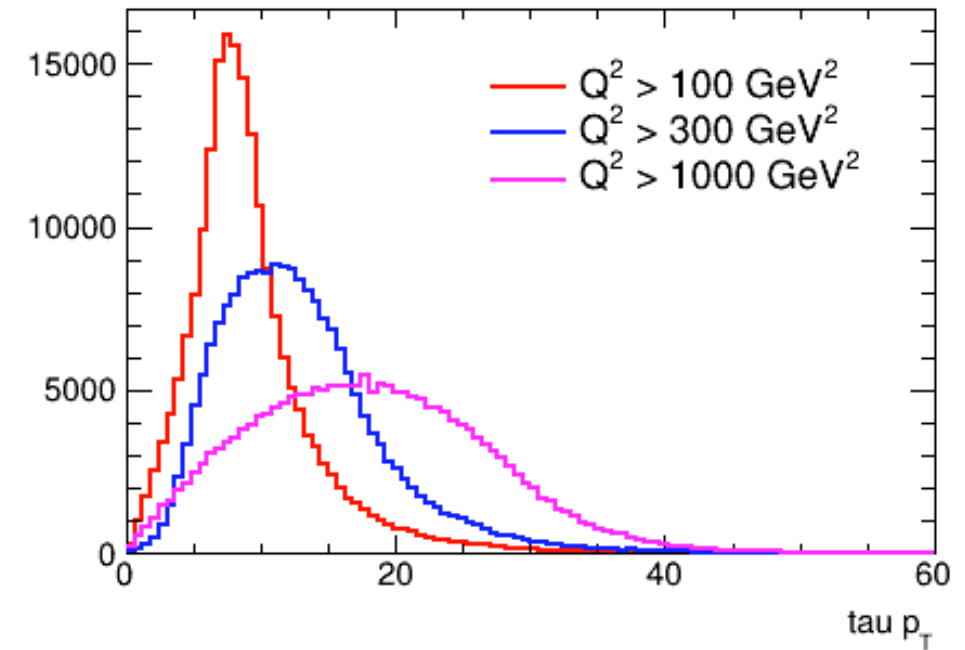
At EIC, benefit from improved vertex and jet detection, aim to greater than 10% efficiency with negligible background in a 100 fb^{-1} data sample

How LQ Tau looks like in ep

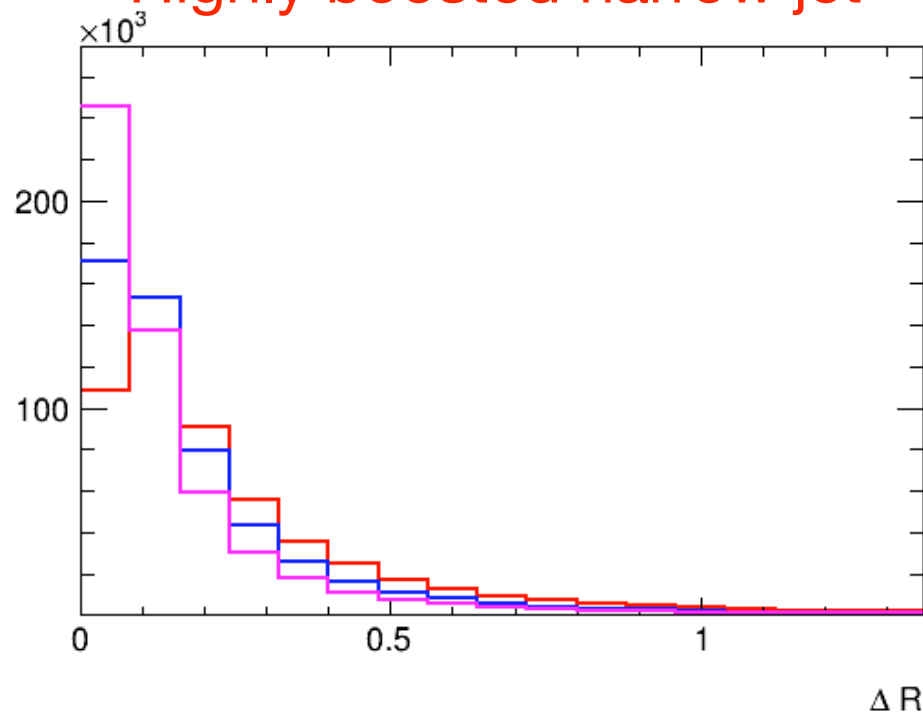
Mostly at Barrel (best detector performance)



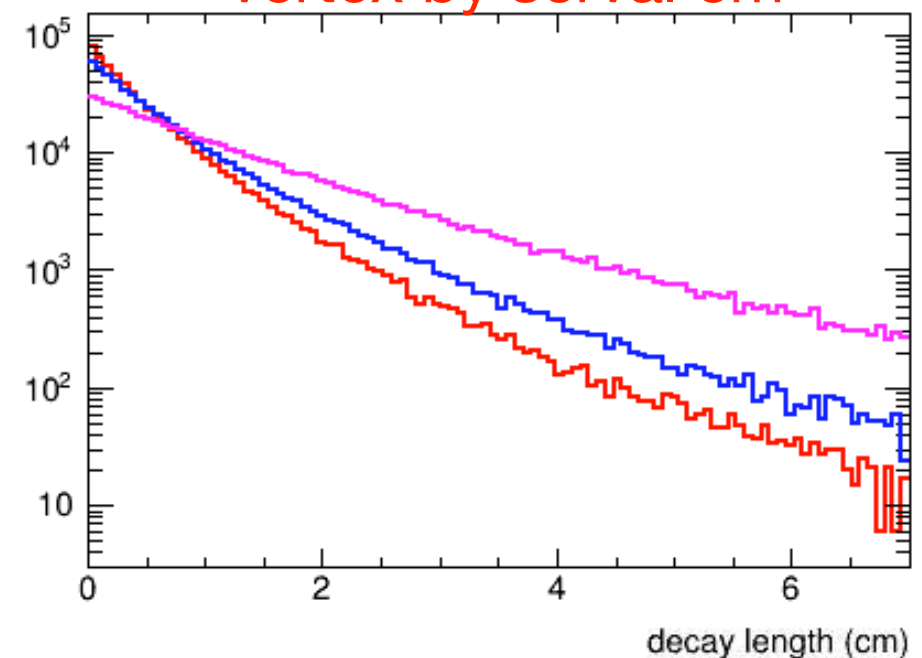
high p_T



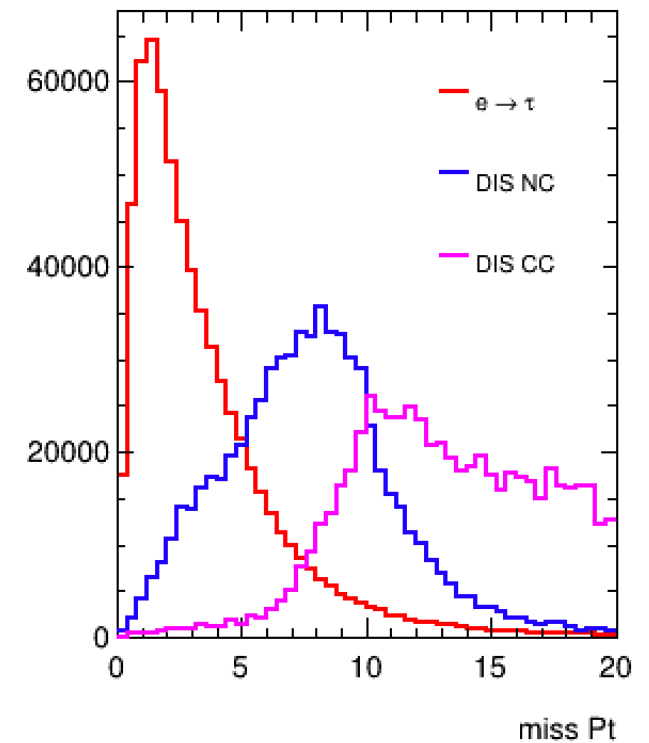
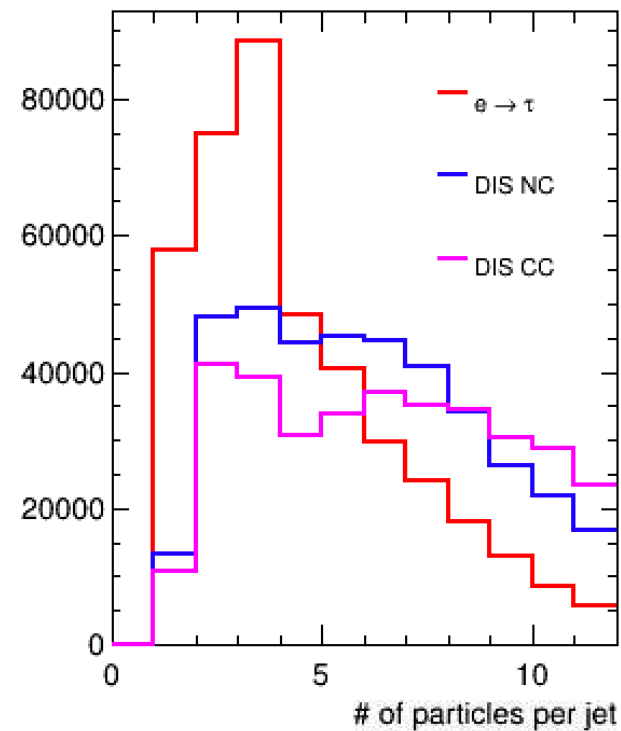
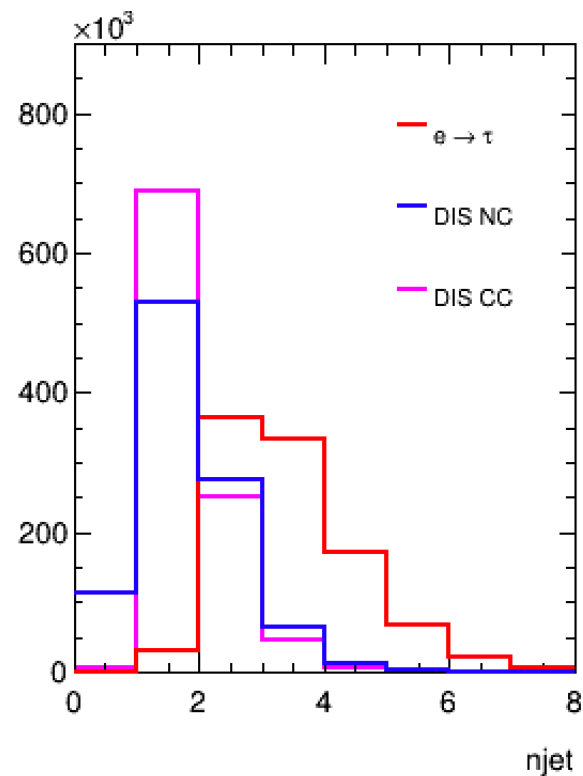
Highly boosted narrow jet



Away from primary vertex by several cm



Features of LQ $e \rightarrow \tau$ event



Note: electron in DIS NC is masked; Fastjet, Anti- k_T , $R = 1.0$; jet $p_t > 2$ GeV; $Q^2 > 100$ GeV²

- $e \rightarrow \tau$ event

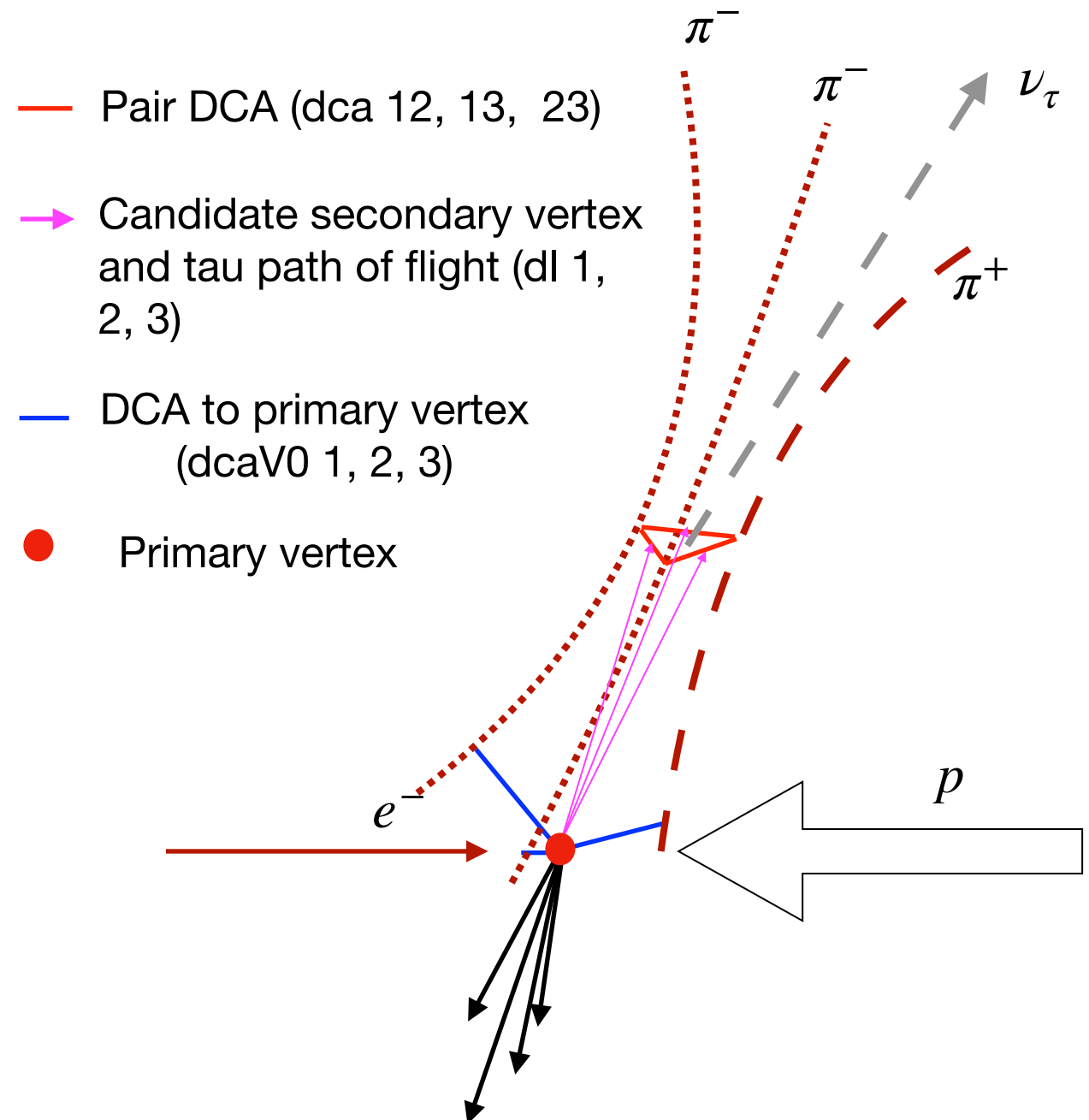
- 2+ jets
- Low particle multiplicity
- Modest missing p_T (partial of tau p_T)

- DIS event

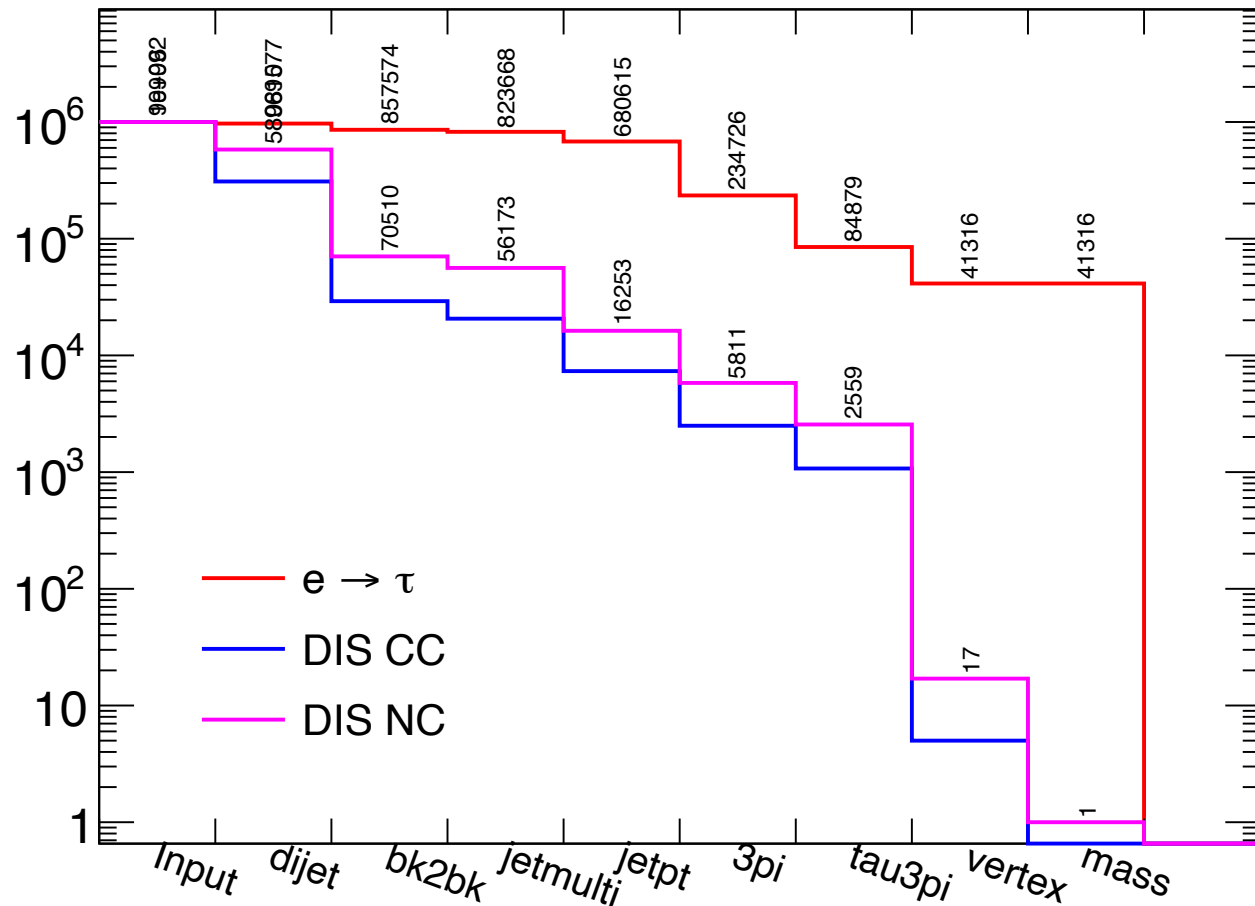
- 1 jets dominating
- Higher particle multiplicity
- Missing $p_T \sim$ lepton p_T

Search strategy for 3-prong decays

- Event generators:
 - LQGENEP 1.0 for Leptoquark events (L. Bellagamba, 2001)
 - DJANGO 4.6.8 for DIS (NC + CC) events (H. Spiesberger 2005)
- Jets reconstructed from MC events
 - Fastjet, Anti- k_T , $R = 1.0$
 - Scattered electron for SM DIS and neutrinos **excluded**
- **Secondary vertex** finding from $\pi^-\pi^+\pi^-$



Events Selection



- di-jet: number of jets ≥ 2
- bk2bk: $\cos\Delta\phi_{jet1-jet2} < -0.7$
- jetmulti: number of particles < 5 for at least one of the jets
- jetpt: $p_T(jet1) > 4.0$ and $p_T(jet2) > 2.5$
- 3pi: jet contain 3pi
- tau3pi: 3pi jet aligns with missing p_T

- vertex: $dR_{sum} < 0.2$ && $dl_{asy} < 0.2$ mm && $dl_{average} > 0.2$ mm

Collimation in (η, ϕ) space:

$$dR_{sum} = \Delta R(\vec{1}, \vec{2}) + \Delta R(\vec{2}, \vec{3}) + \Delta R(\vec{1}, \vec{3})$$

Length matching:

$$dl_{asy} = |dl_1 - dl_2| + |dl_1 - dl_3| + |dl_2 - dl_3|$$

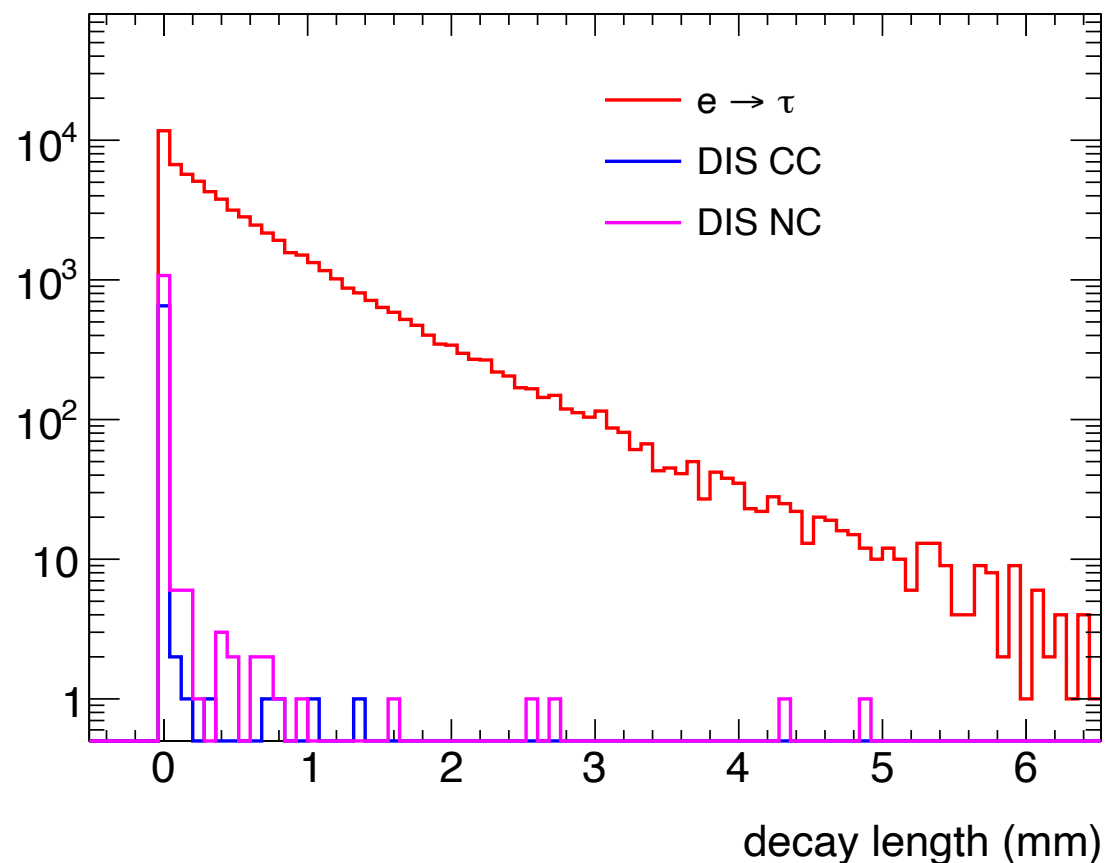
- mass: corrected mass < 1.8 GeV

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2\theta} + p_{3\pi} \sin\theta$$

θ : angle between \vec{V}_{2nd} and $\vec{p}_{3\pi}$

Last Two Cuts

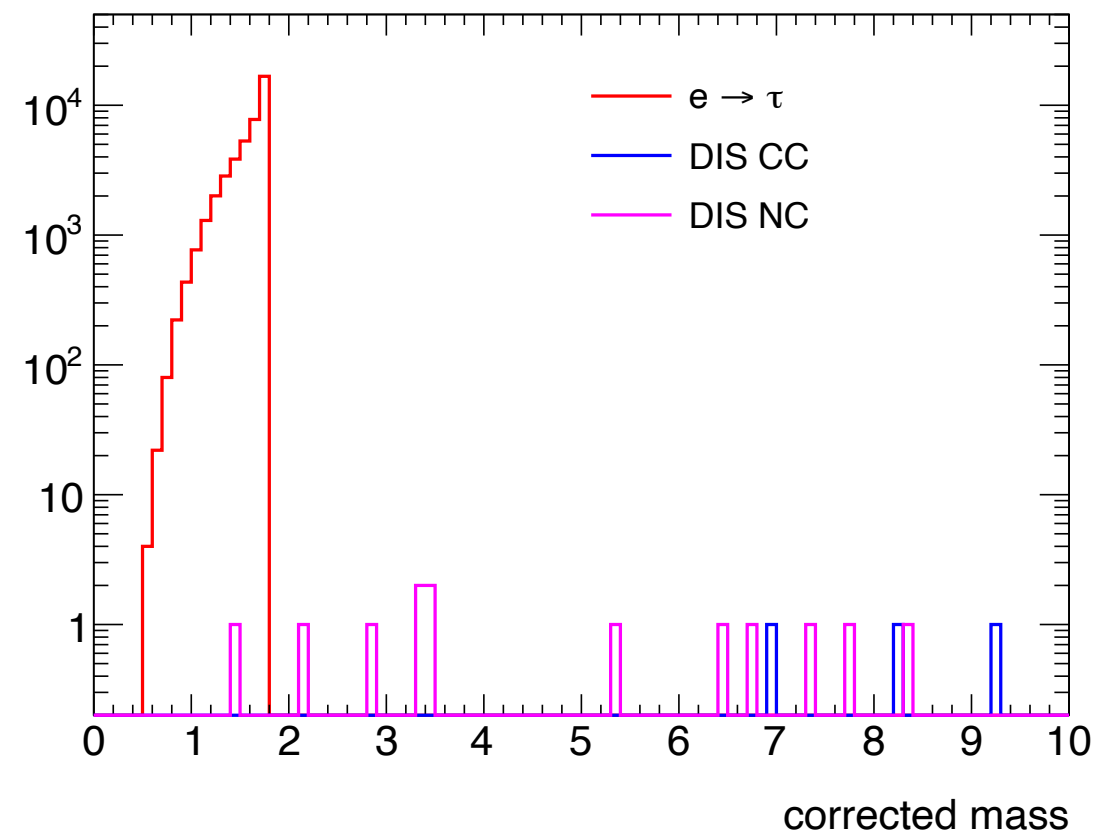
- Secondary vertex and corresponding decay length reconstructed from paired pion tracks



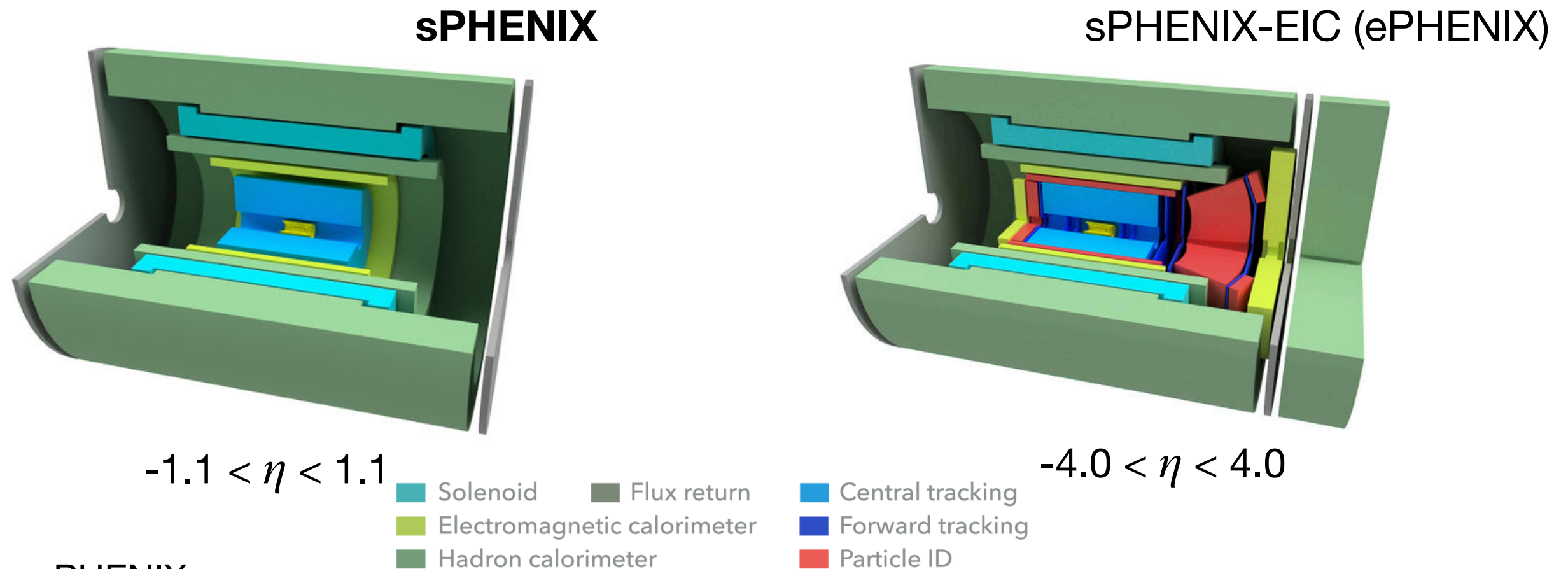
- Corrected mass from 3 pions

$$\sqrt{M_{3\pi}^2 + p_{3\pi}^2 \sin^2 \theta} + p_{3\pi} \sin \theta$$

θ : angle between \vec{V}_{2nd} and $\vec{p}_{3\pi}$



Detector Simulation: sPhenix and further



sPHENIX:

- Next generation RHIC detector, Approved and under construction
- Foundation for an EIC detector concept [arXiv:1402.1209, sPH-cQCD-2018-001]

Full detector simulation: <https://github.com/sPHENIX-Collaboration/coresoftware>

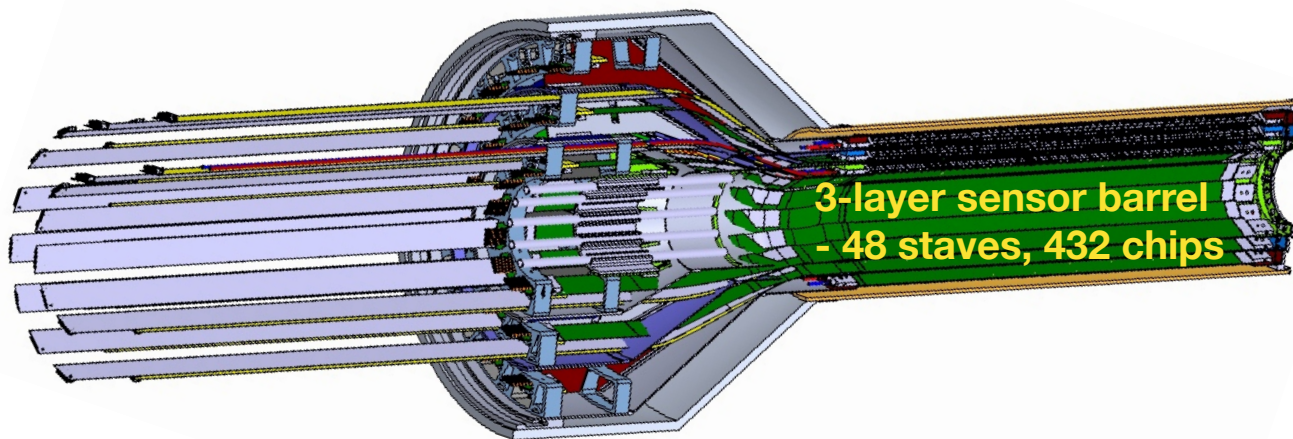
- GEANT4 Simulation framework, well developed.
- Analyses including vertexing and tracking have been implemented in heavy flavor studies.

Vertex Detector: MAPS-based silicon

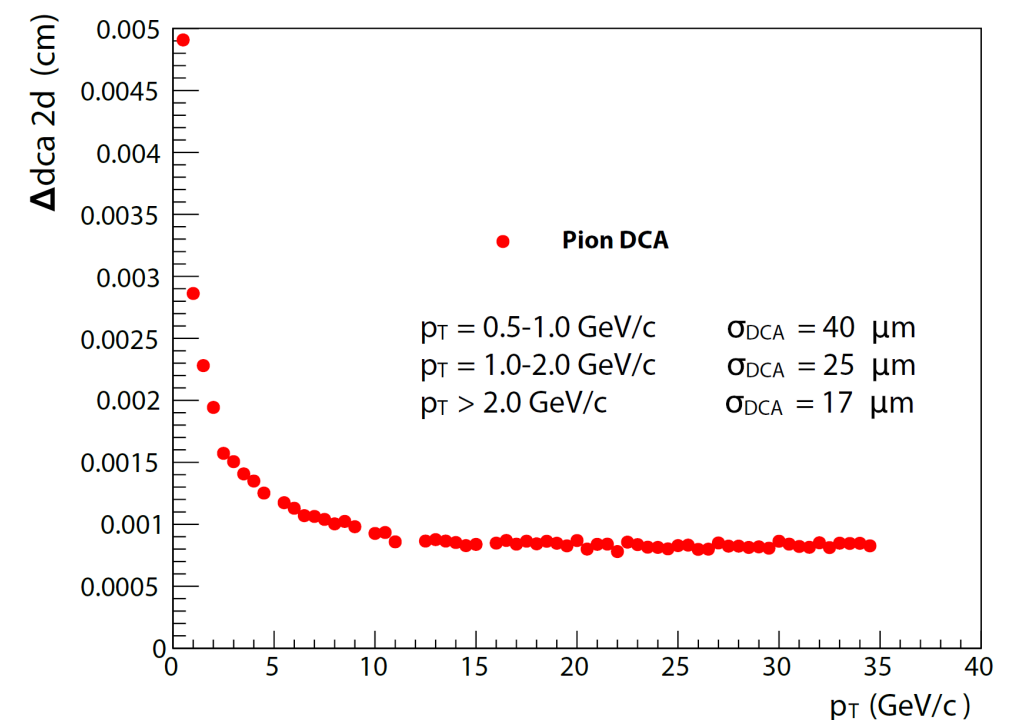
- For initial τ -reco evaluation: sPHENIX vertex tracker
 - 30 μm ALICE Pixel MAPS pixel in three layers, total 200 M pixel channels
 - 5 μm hit position resolution
 - 0.3% X_0 thickness per layer
 - $R \sim 2\text{cm}$. Note: EIC $R \sim 3\text{cm}$

state-of-the-art vertex detector

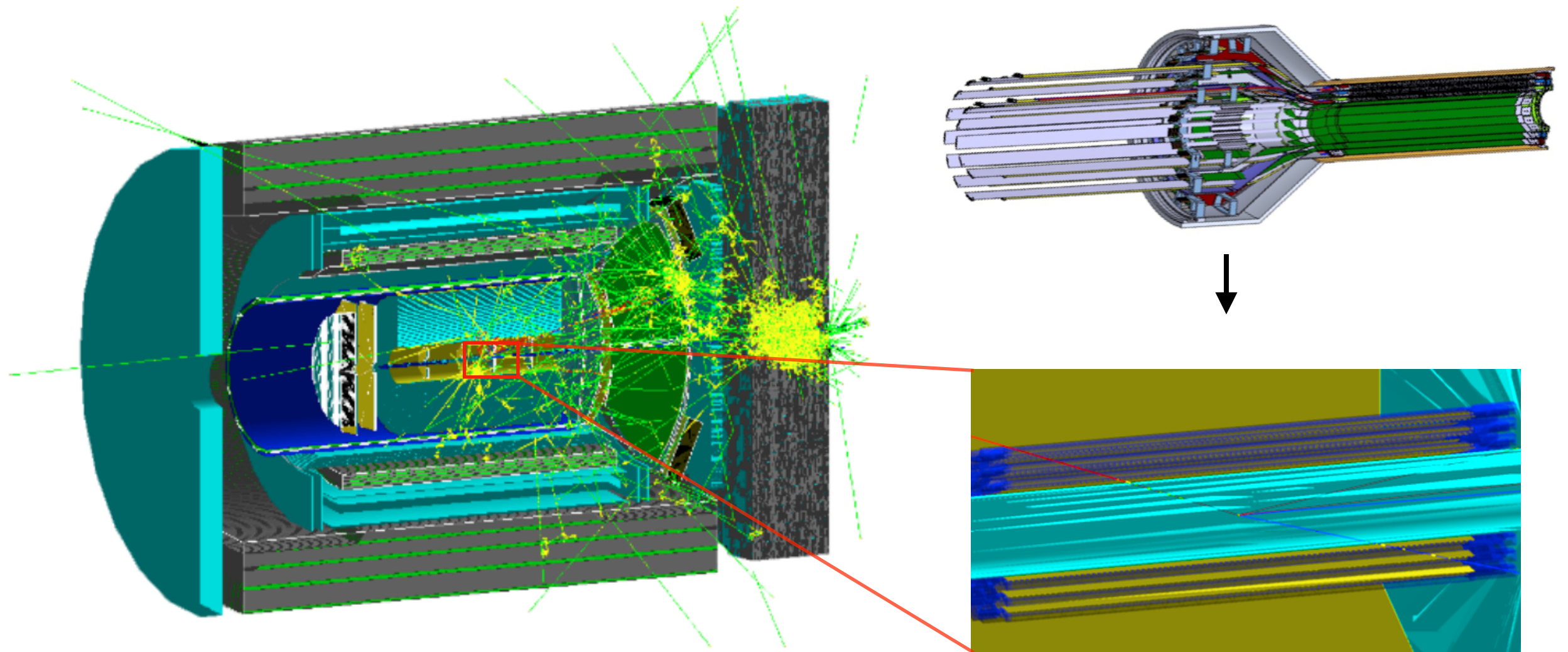
MVTX — Monolithic-Active-Pixel-Sensor-based Vertex Detector



Service cone: signal, power, cooling
and mechanical support



LQ event at sPhenix-ELC detector

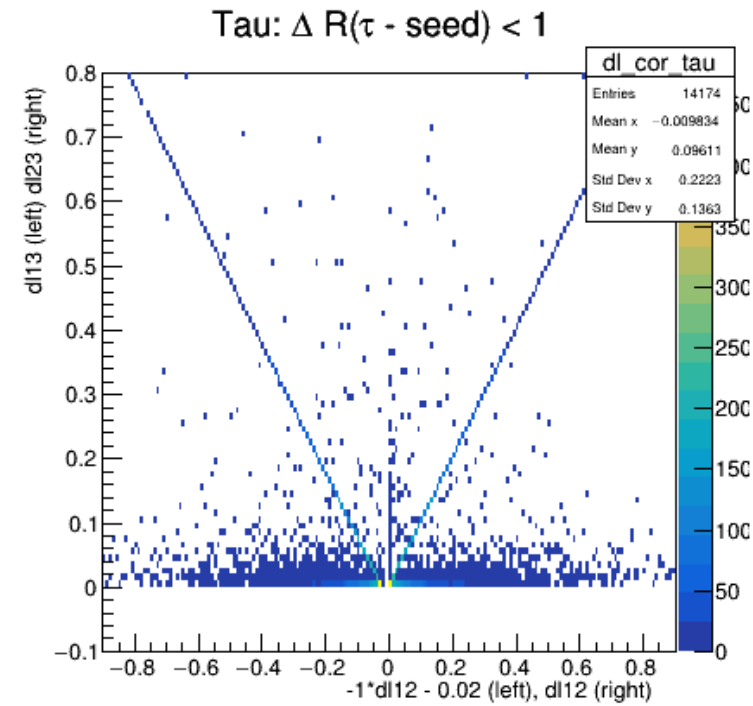
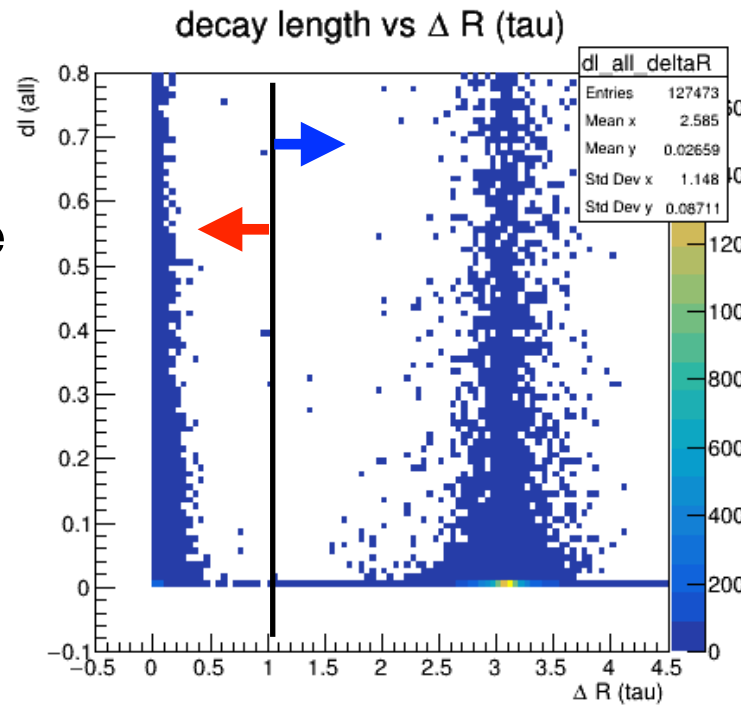


- LQGENEP 1.0 Leptoquark event $e+p$ 18×275 GeV/c + sPHENIX-ELC sim
- For initial τ -reco evaluation: sPHENIX vertex tracker

Simplified secondary vertex reconstruction

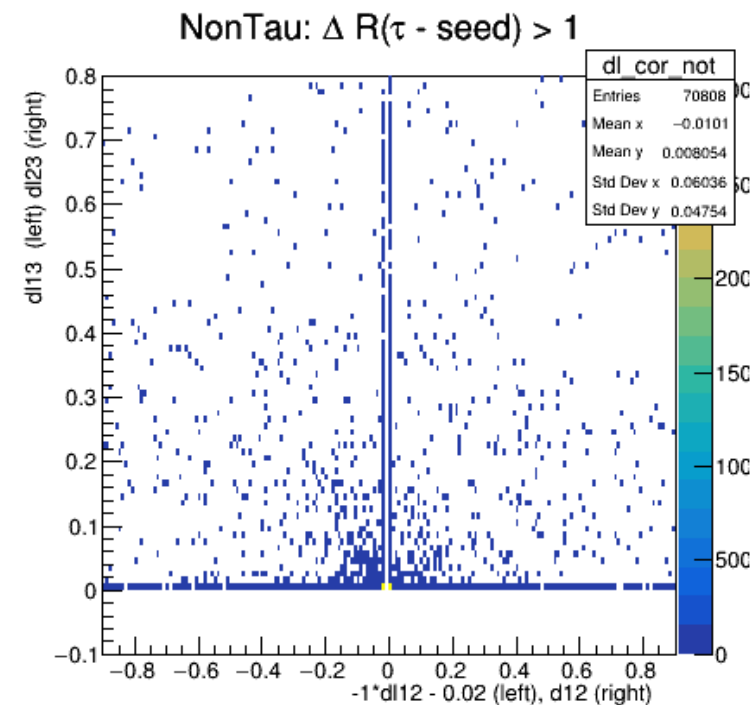
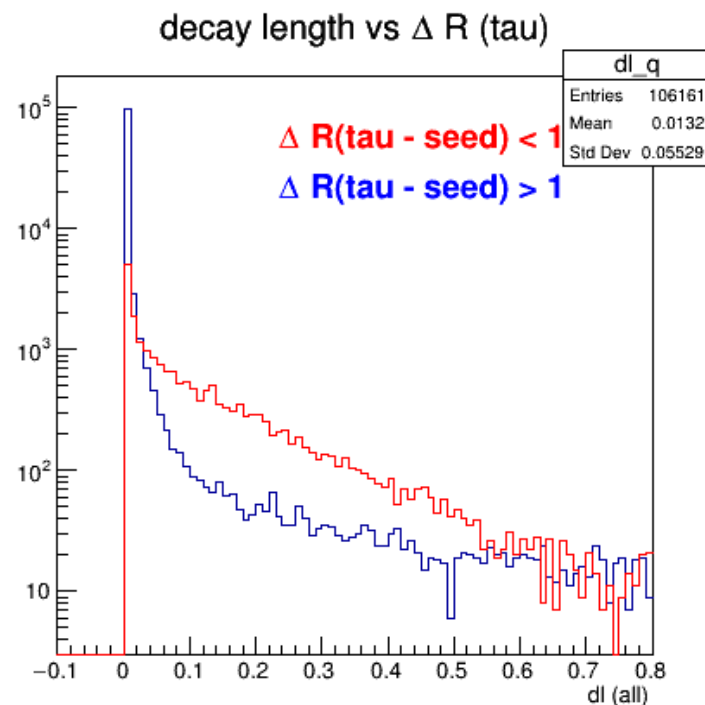
Generator level

Tag 3-prong candidate
with truth tau direction



Tau side:
Clear correlations
between 3
reconstructed decay
length

Significantly long
reconstructed decay
length at Tau side

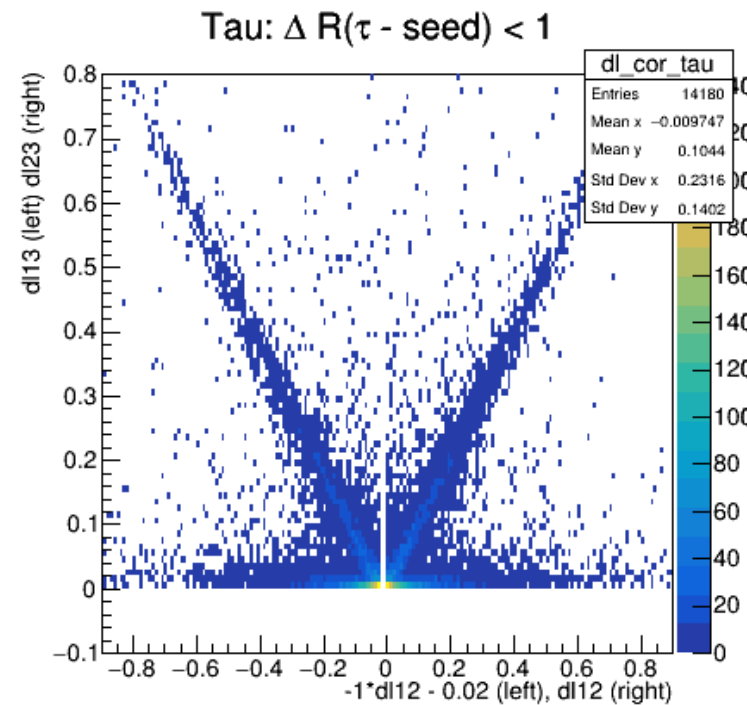
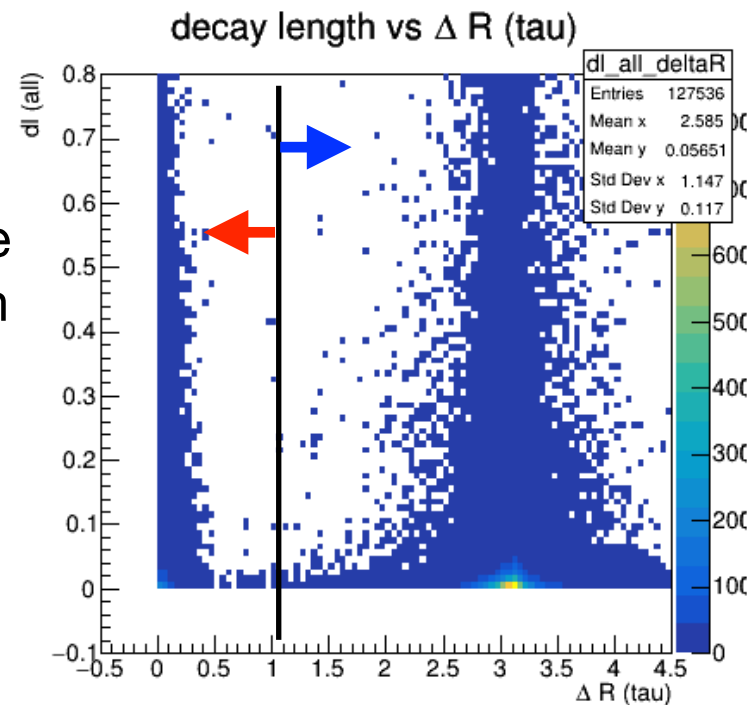


Away side:
No correlations
between 3 pair
combination

Simplified secondary vertex reconstruction

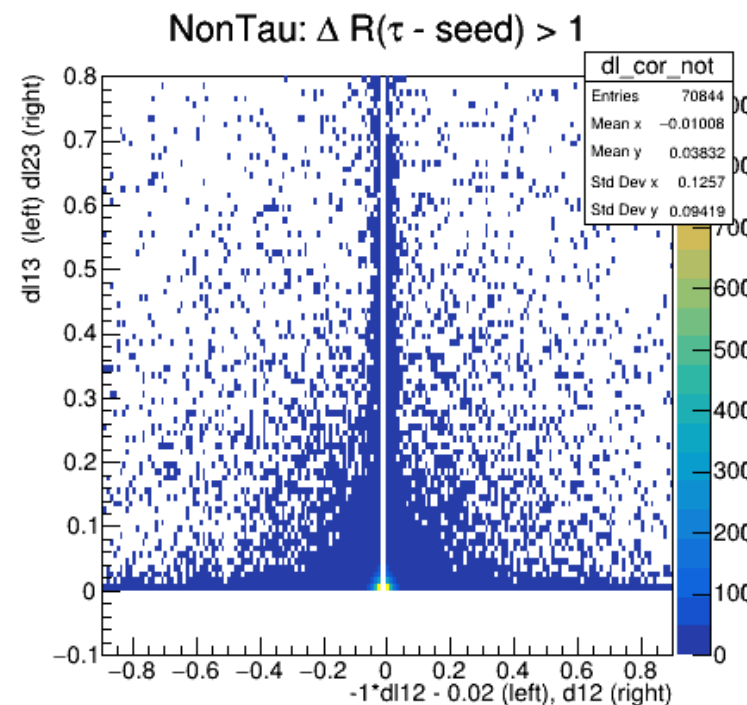
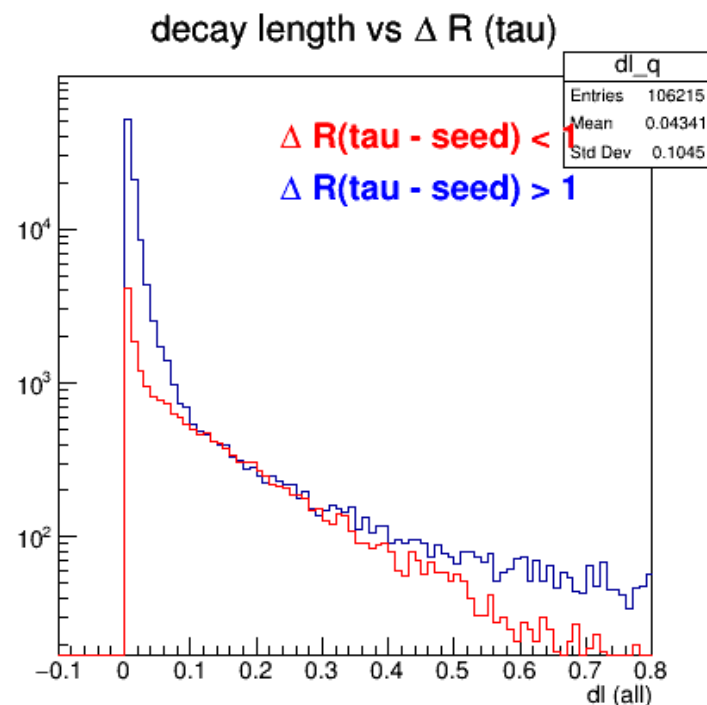
Full Geant4 of sPHENIX

Tag 3-prong candidate
with truth tau direction



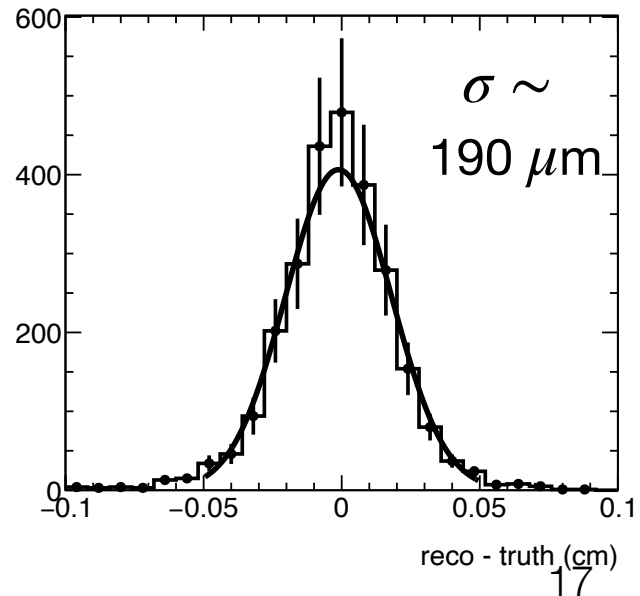
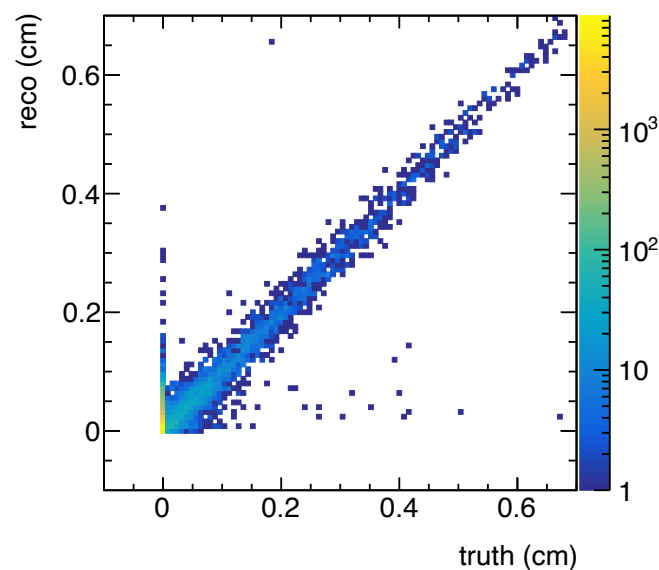
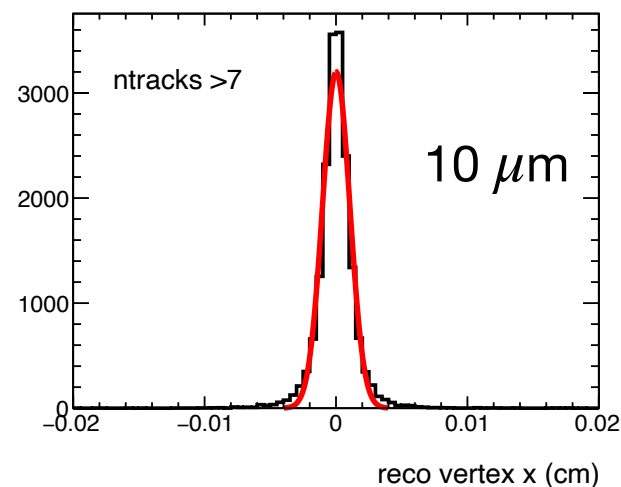
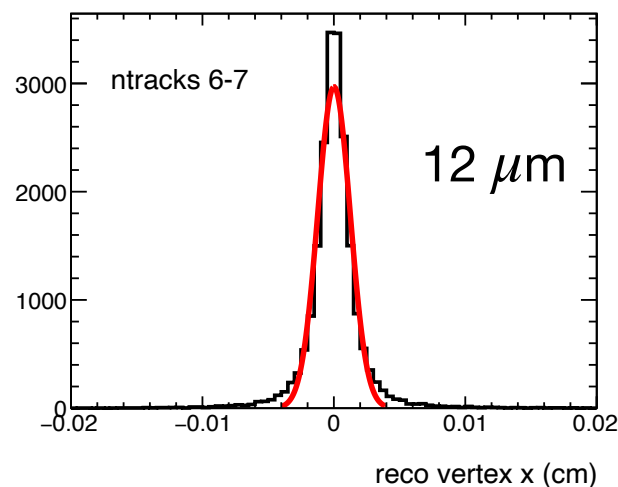
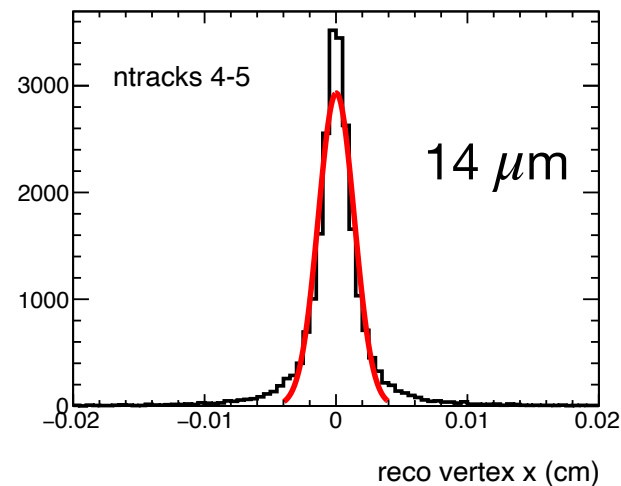
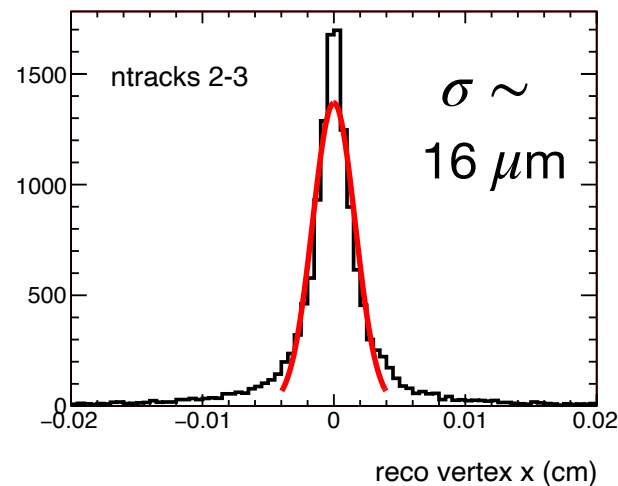
Tau side:
Clear correlations
between 3 pair
combination

Significantly long
reconstructed decay
length at Tau side



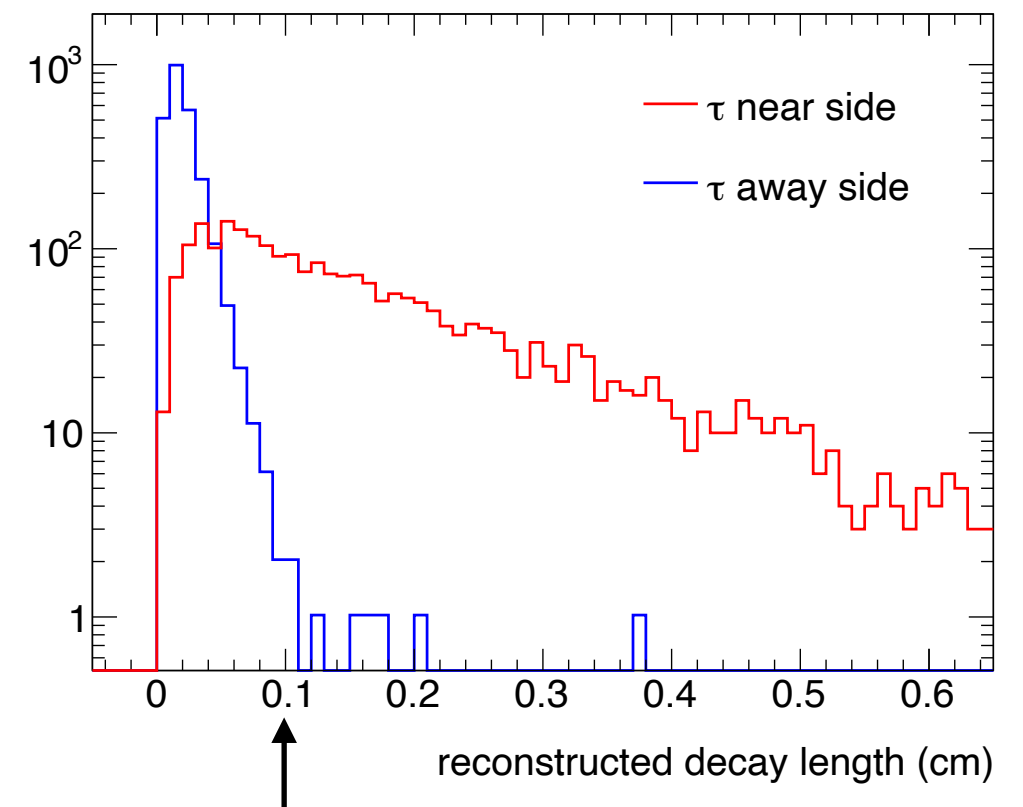
Away side:
No correlations
between 3 pair
combination

Effect of resolution

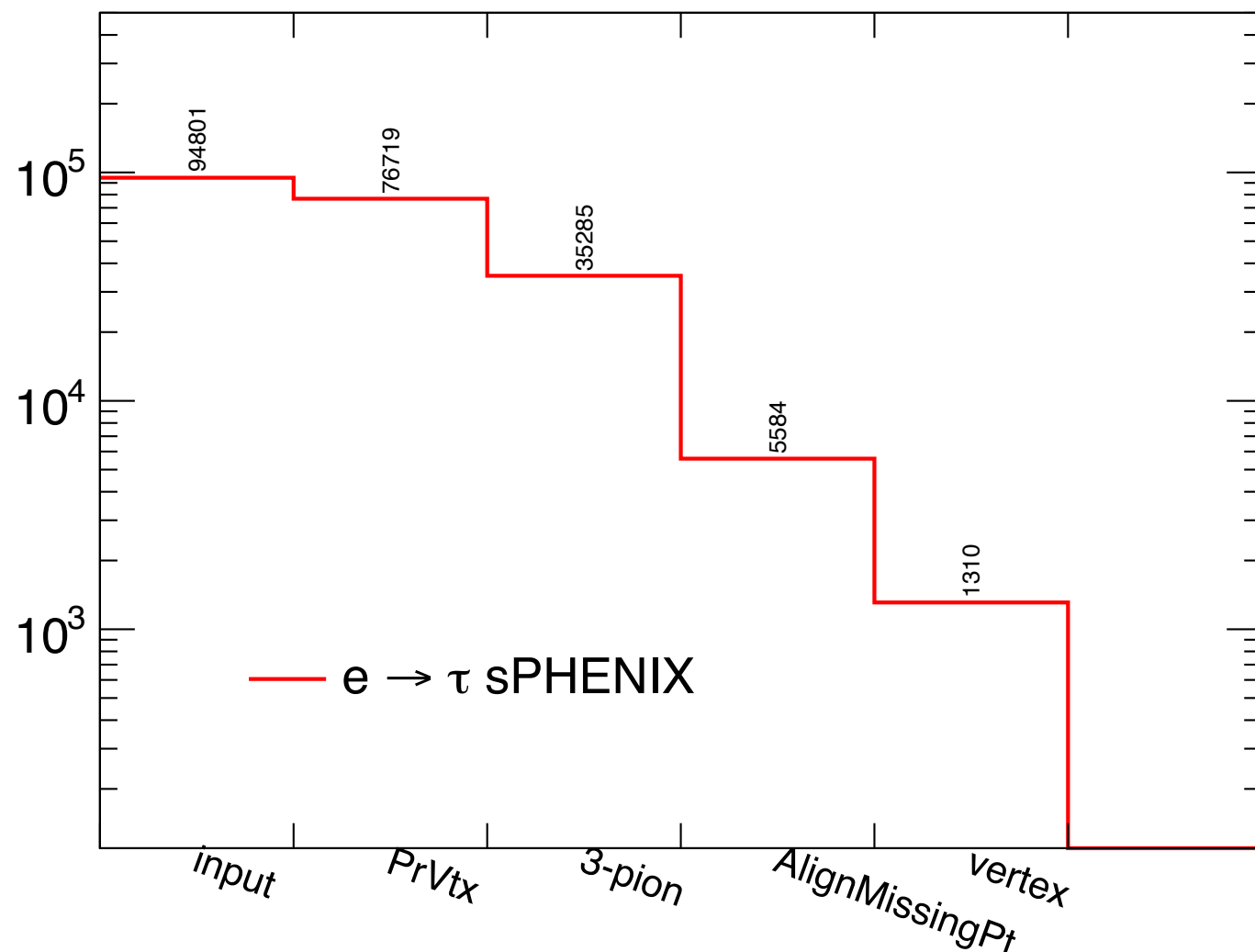


- Vertex resolution at x component $\sim 10 \mu\text{m}$
- Similar for y and z components at middle rapidity
- Decay length resolution $\sim 190 \mu\text{m}$

► Similar algorithm applied as for Generator level analysis



Efficiency with Detector Effects

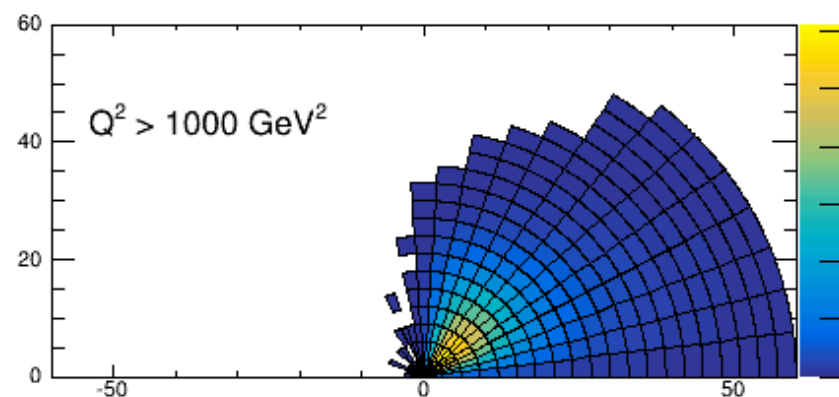
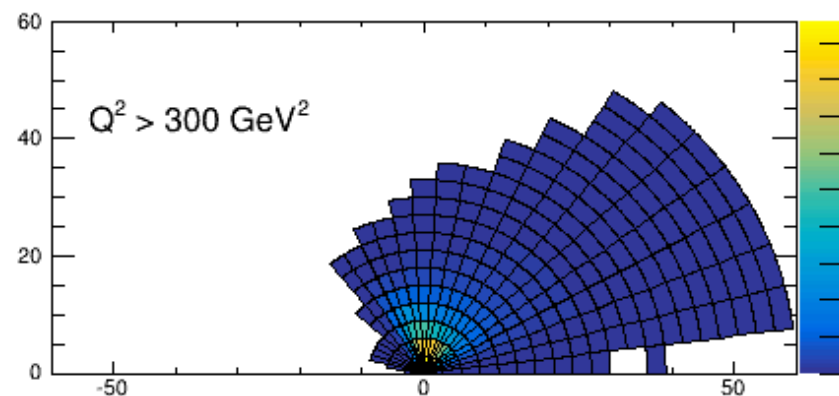
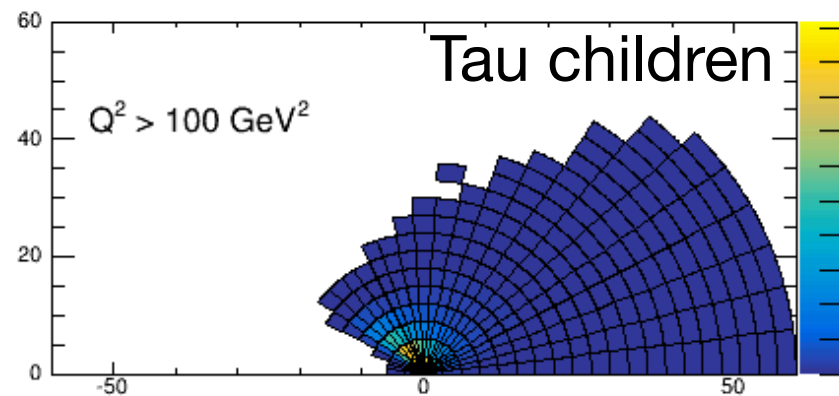


- PrVtx: good primary vertex
- 3-pion: only accept for 3-pion events (assuming 100% PID)
- AlignMissingPt: 3-pion should be at the “missing-pT” side azimuthally
- Vertex: match reconstructed secondary vertexes, decay length > 1 mm

- Similar algorithm applied as for Generator level analysis
- $\sim 1.4\%$ (**$\sim 9.3\%$** out $\sim 15\%$ 3-prong) signal efficiency from sPHENIX detector simulation

Detector requirement summary

275 GeV → ← 18 GeV



3-prong

- Missing energy reconstruction for tau jet tagging
- Veto scattering electron at middle/forward rapidity (high Q^2)
- Tracking and jet detection at central detectors
- Vertex detector for precise secondary vertex reconstruction

1-prong (not studied yet)

- π^0 reconstruction
- Muon identification

Angle for theta, radius for momentum

Next step

- Move to EIC configuration for the full detector simulation
- Completing 3-prong
 - Optimize selection cuts; apply Multi-Variable Analysis (MVA)
 - Investigate lower Q^2 (10-100 GeV²) data
 - Make the sensitivity projection
- Explore the 1-prong decays
 - Devise independent cuts for single muon and single pion modes

For yellow report

- Kinematic map for different final status
- PID requirement: high momentum charged pion
- Electromagnetic calorimeter: neutron pion reconstruction
- Muon identification

Summary

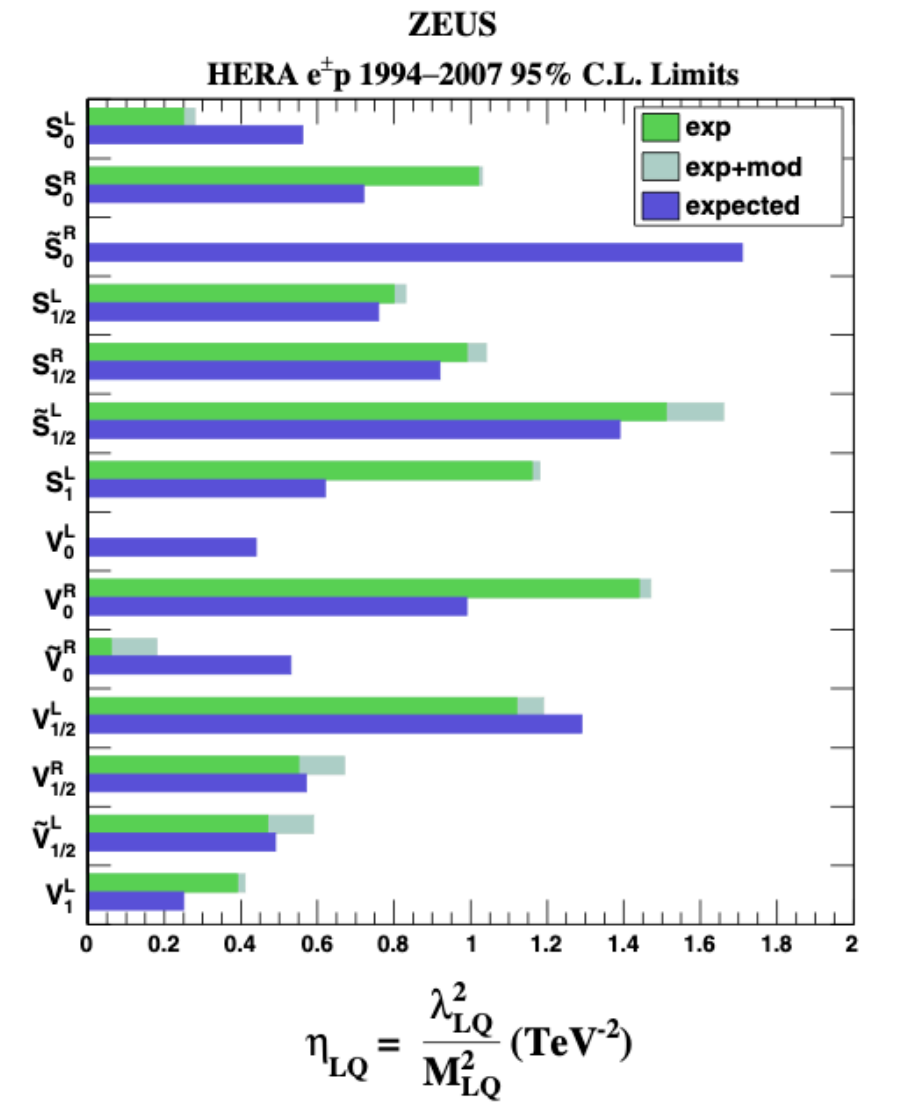
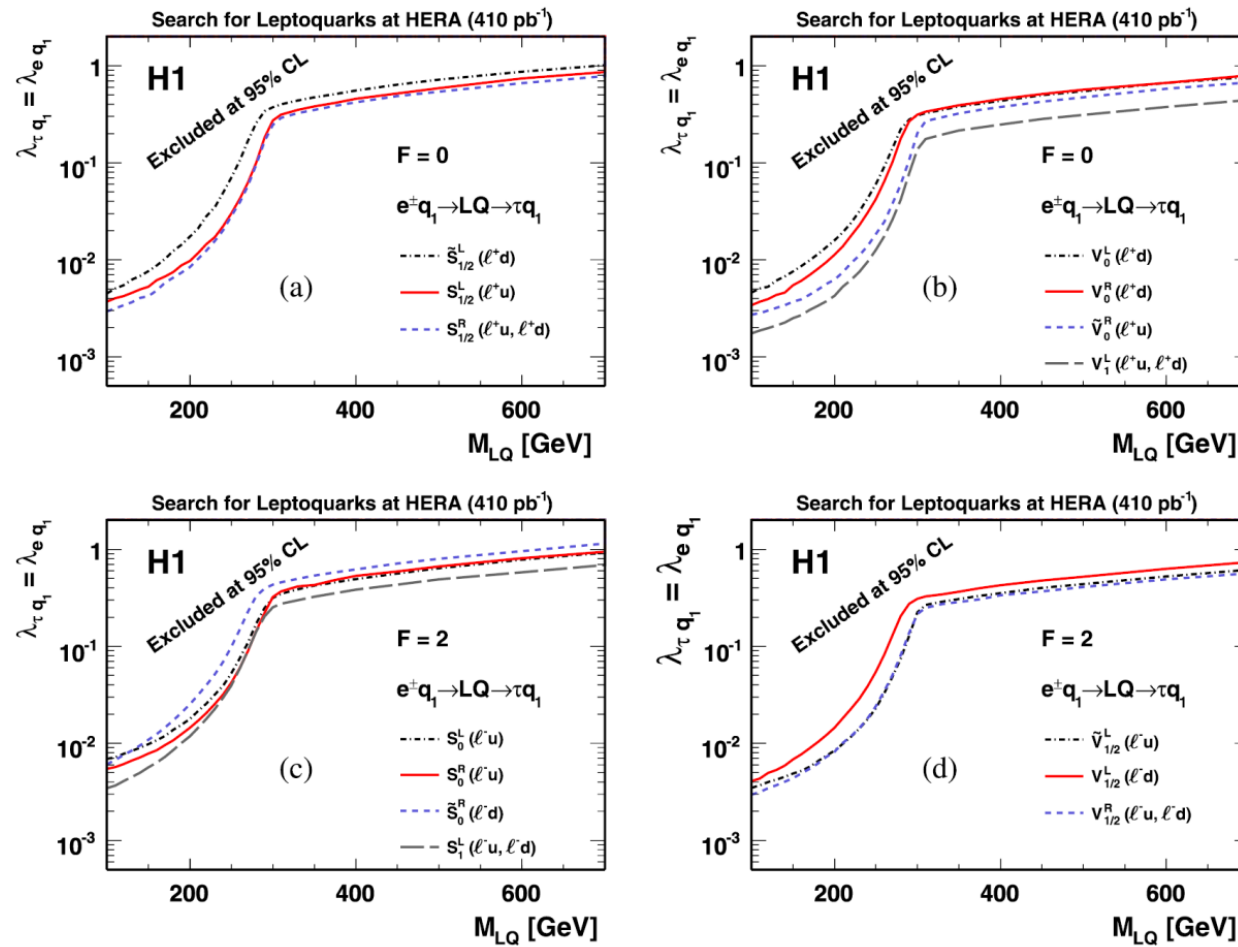
- EIC with high ($10^{34}/\text{cm}^2/\text{s}$) luminosity opens opportunities for Charged Lepton Flavor Violation search
 - Benchmarking $e \rightarrow \tau$ search with Leptoquark models
- Starting an effort re-examining the potential of CLFV search with decay topological using modern precision vertex tracker and event shape analysis
 - Aiming for 0.1 fb cross-section sensitivity
 - Synergies with other high luminosity topics e.g. heavy flavors
- LQGENEP generator + Full detector simulations and reconstruction via ePHENIX (sPHENIX-EIC) concept
- for EIC yellow report: quantify detector requirements

Backup

HERA

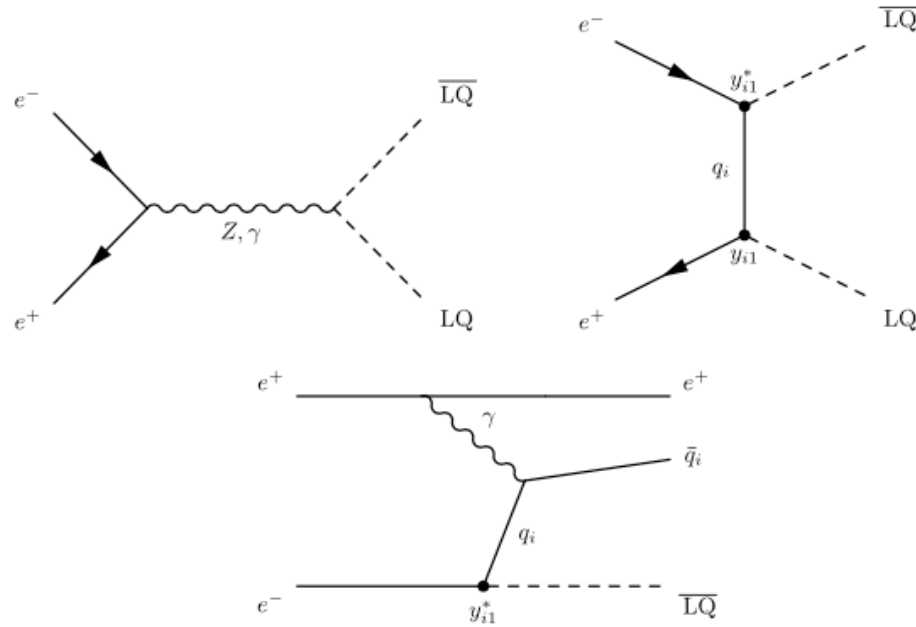
H1, PLB 701, 20-30 (2011)

ZEUS, PRD 99, 092006 (2019)

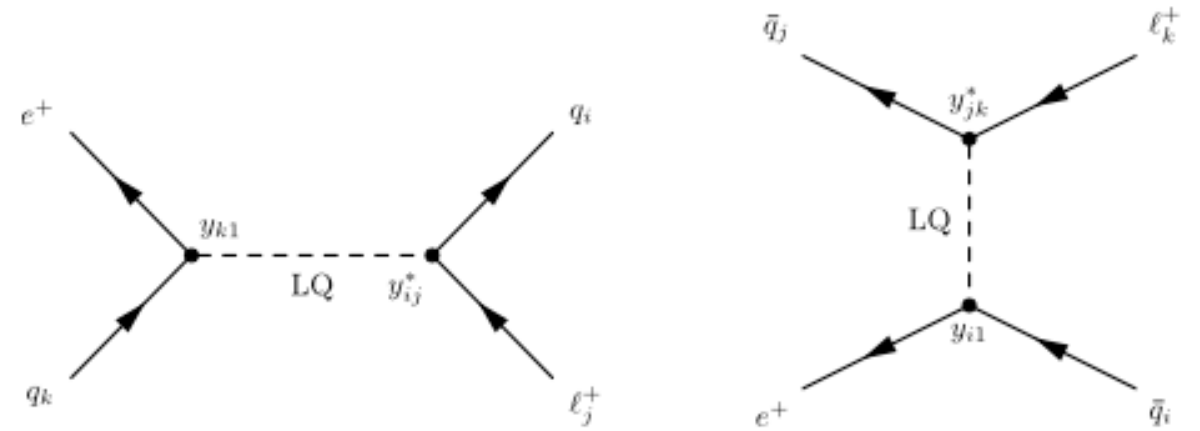


Experimental Searches of Leptoquarks

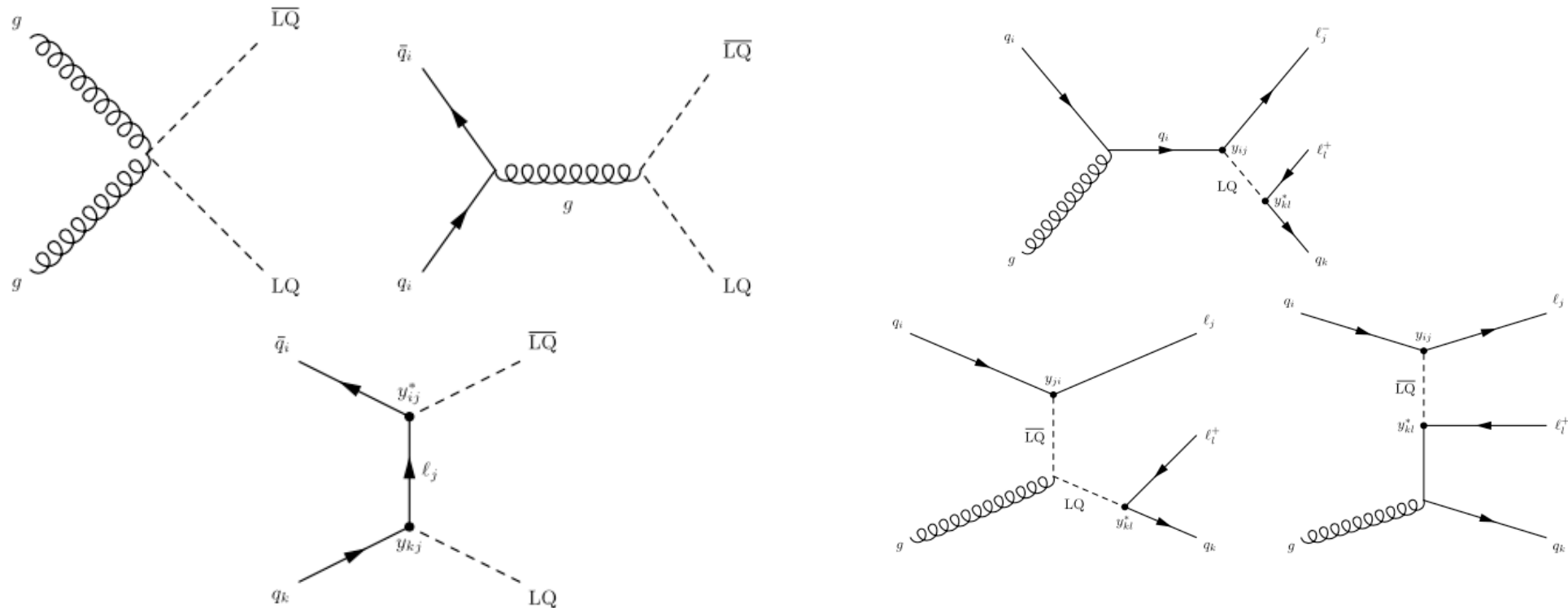
e^+e^-



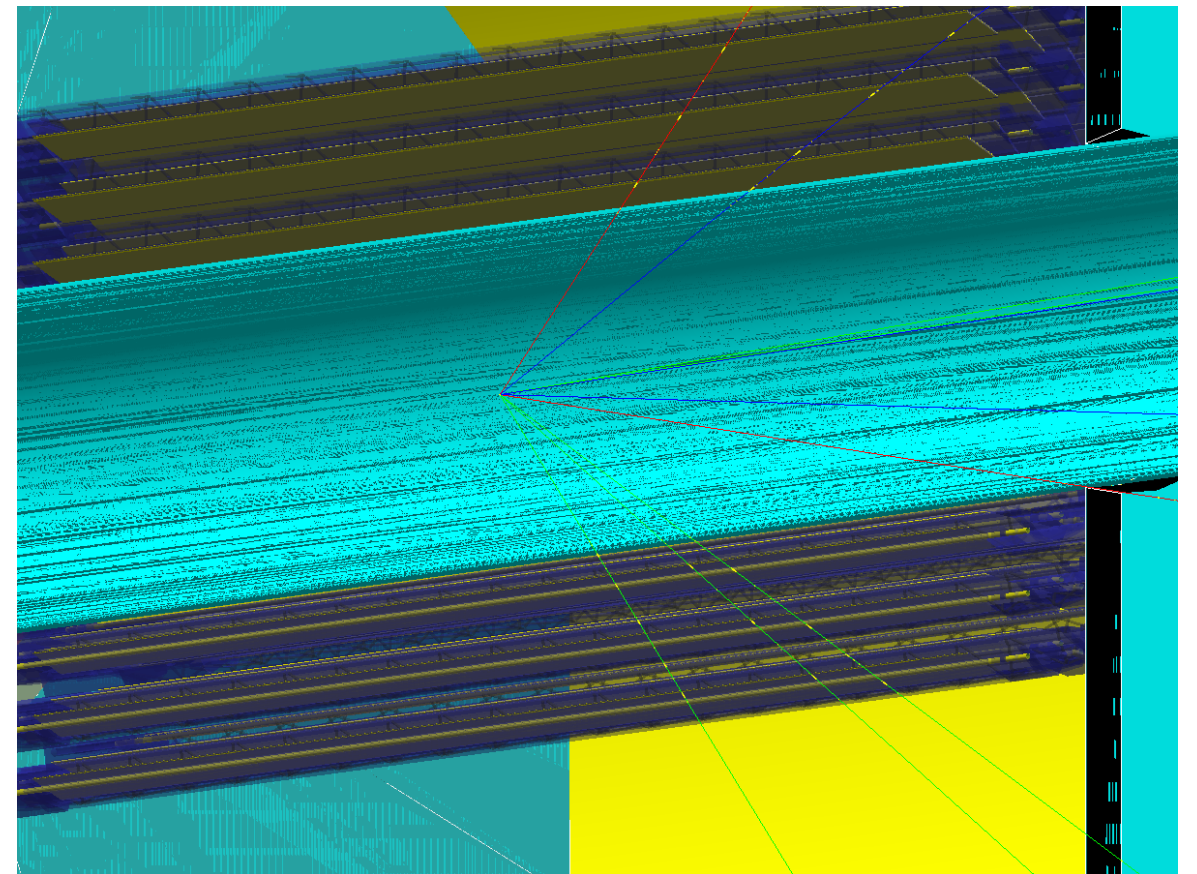
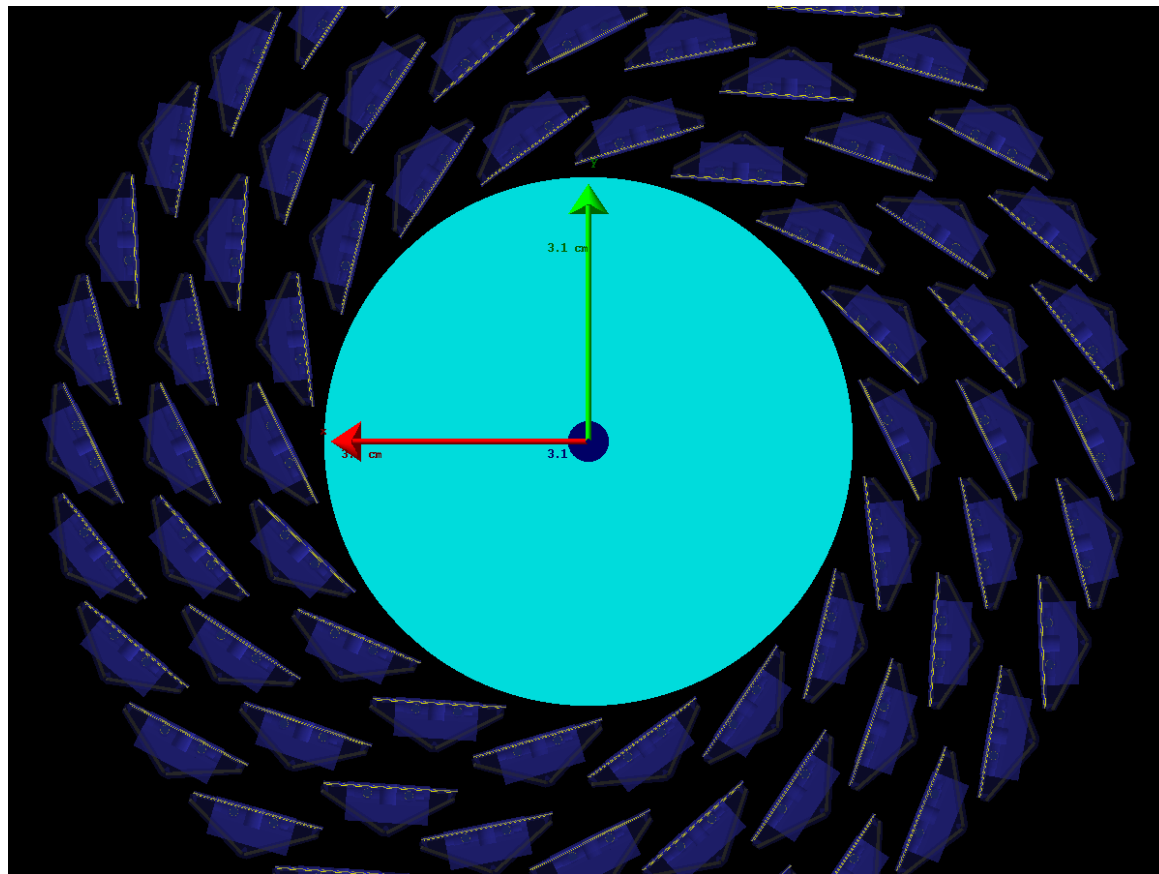
ep



$pp / p\bar{p}$



Silicon Vertex Tracker Layout



The EIC beam pipe is ~50% larger than the RHIC beam pipe. The MVTX geometry is adjusted to accommodate this pipe. The layout is based on the inner tracker from eRD16/18 from Håkan Wennlöf hwennlof@kth.se.